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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 003918.P012X

First Inventor or Application Identifier | Wenbin Jiang

Title METHOD AND APPARATUS FOR PARALLEL OPTICAL

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. EL466333248US

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	APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents					Assistant Commissioner for Patents ADDRESS TO: Box Patent Application Washington, DC 20231					
1.	×		smittal Form			5. F	Microfiche Computer Program (Appendix)				
	×	Specificat	n original, and a duplicate for fee processing) tion [Total Pages 41] d arrangement set forth below)			6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)					
		- Descripti - Cross Re - Stateme - Reference	ive title of the Inven eferences to Relate nt Regarding Fed s ce to Microfiche App und of the Invention	tion d Applications oonsored R & D oendix		a. b. c.	Statement verifying identity of above copies	09/651			
		- Brief Su	mmary of the Invent scription of the Drav Description	tion		ACCOMPANYING APPLICATION PARTS					
					7	П	Assignment Papers (cover sheet & document(s))				
		- Claim(s)	•				37 C.F.R. § 3.73(b) Statement Power of (when there is an assignee)	f Attorney			
3.	×	Drawing(s) (35 U.S.C. 113) [Total Sheets 7]			9.		English Translation Document (if applicable)				
	. Oath or Declaration [Total Pages 4]			10		Information Disclosure Copies Statement (IDS)/PTO - 1449 Copies Citation					
	 a. Newly executed (original copy) b. Copy from a prior application (37 C.F.R. § 1.63(d)) 				₁₁	П	Preliminary Amendment				
	b. Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed) i. DELETION OF INVENTOR(S)					12. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).					13	×	*Small Entity Statement(s) Statement filed in prior applic Status still proper and desire				
					14		Certified Copy of Priority Document(s) (if foreign priority is claimed)				
*NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).							Other:				
16	. If a	CONTINU	ING APPLICATION	, check appropriate box, a	nd supp	ly the	requisite information below and in a preliminary ame	ndment:			
		Continua	ation 🔲 Divisio	onal 🔀 Continuation	-in-part	(CIP)					
	Pn	or applicat	tion Information:	Examiner			Group/Art Unit: 09/320	<u>,</u> 409			
ထ	nsider	ed a part of	the disclosure of the a		ivisional	applica	cation, from which an oath or declaration is supplied under Bo ation and is hereby incorporated by reference. The incorpora oplication parts.				
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	Nam	е	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP								
-			12400 Wilshire Boulevard, Seventh Floor								
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City			Los Angeles St)	California Zip Code 9002	25			
	Cour	itry	U.S.A.	Telep	hone			557-3347			
	Name (Print/Type) William E. Afford, Reg. No. 37.764										
	Signature 08/30/00										

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R §§ 1.27 and 1.28.

Application Number

Filing Date

August 30, 2000

First Named Inventor

Examiner Name

Group/Art Unit

Attorney Docket No.

August 30, 2000

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Complete if Known

TOTAL AMOUNT OF PAYMENT (\$) 567.00 Attorney Docket No. 003918.P012X Ú FEE CALCULATION (continued) METHOD OF PAYMENT (check one) ADDITIONAL FEE 3. The Commissioner is hereby authorized to charge 1. X indicated fees to: Large Entity Small Entity The Commissioner is hereby authorized to credit any over 冈 payments to: Fee Paid Fee Description Fee Fee Fee Fee Deposit Code (\$) Code (\$) 02-2666 Account 205 105 130 65 Surcharge - late filing fee or oath Number 25 Surcharge - late provisional filing fee or 127 50 227 Deposit cover sheet. Account Blakely, Sokoloff, Taylor & Zafman LLP Name 139 130 139 130 Non-English specification 147 2,520 For filing a request for reexamination 147 2,520 Charge Any Additional Fees Required Under 37 CFR §§ 1.16,1.17, 1.18 and 1.20. 112 920* 920*Requesting publication of SIR prior to Examiner action 2. Payment Enclosed: 113 1,840 113 1,840 *Requesting publication of SIR after Money **Examiner action** 図 Check Other Order 110 215 55 Extension for response within first month 115 **FEE CALCULATION** 216 190 Extension for response within second month 380 BASIC FILING FEE 870 217 435 Extension for response within third month 117 Large Entity Small Entity 1,210 218 680 Extension for response within fourth month Fee Fee Fee Fee Description Fee Paid 925 Extension for response within fifth month 128 1,850 228 Code (\$) Code (\$) 300 150 Notice of Appeal 119 219 \$345.00 101 690 201 345 Utility filing fee 300 220 150 Filing a brief in support of an appeal 120 206 155 Design filing fee 106 310 121 260 221 130 Request for oral hearing 107 480 207 240 Plant filing fee 138 1,510 138 1510 Petition to institute a public use proceeding Reissue filing fee 208 345 690 108 240 55 Petition to revive - unavoidable 140 110 Provisional filing fee 114 150 214 75 141 1,210 241 605 Petition to revive - unintentional 345.00 SUBTOTAL (1) (\$) 142 1,210 605 Utility issue fee (or reissue) 242 **EXTRA CLAIM FEES** 143 430 243 215 Design issue fee 2. Extra Fee from 244 144 580 290 Plant issue fee Claims below Fee Paid **Total Claims** 36 20 : 16 9.00 \$144.00 130 Petitions to the Commissioner 122 130 122 Independent Claims 5 2 39.00 \$78.00 50 123 50 Petitions related to provisional applications 123 Multiple Dependent 240 126 240 Submission of Information Disclosure Stmt 126 40 581 40 Recording each patent assignment per or number previously paid, if greater, For Reissues, see below 581 property (times number of properties) Small Entity Large Entity 395 Filing a submission after final rejection 790 Fee Fee Description 146 246 Fee (37 CFR 1.129(a)) (\$) Code Code (\$) 103 203 9 Claims in excess of 20 149 790 249 395 For each additional invention to be 18 examined (37 CFR 1.129(b)) 78 202 Independent claims in excess of 3 102 39 Other fee (specify) Multiple Dependent claim, if not paid 130 204 104 130 Other fee (specify) **Reissue independent claims 109 78 209 39 over original patent **Reissue claims in excess of 20 110 18 210 and over original patent *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 222.00 SUBTOTAL (2) (\$)

SUBMITTED BY	1		Complete (if applicable)		
Typed or Printed Name	William E. Alford			Reg. Number	37,764
Signature	Mills Sa	Date	08/30/00	Deposit Account User ID	02-2666